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# On local newforms for unramified U(2, 1)

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## 1 Introduction

Local newforms play an important role in the theory of automorphic representations. Roughly speaking, a newform for an irreducible generic representation  $\pi$  of a *p*-adic group is a vector which attains the *L*-factor of  $\pi$  via Rankin-Selberg integral. The existence of newforms was known only for GL(n) until the work of Roberts and Schmidt [11] for GSp(4). In this note, we study newform theory for unramified U(2, 1).

This note is a survey of the author's work [6], [7], [9], [8] on newrofms for unramified U(2, 1). Let G denote the unramified unitary group in three variables defined over a p-adic field of odd residual characteristic. Newforms for an irreducible generic representation  $(\pi, V)$  of G is defined by using a family of open compact subgroups  $\{K_n\}_{n\geq 0}$  of G, which is an analog of paramodular subgroups of GSp(4). For each non-negative integer n, we denote by V(n) the space of  $K_n$ -fixed vectors. The smallest integer such that V(n) is not trivial is called the conductor of  $\pi$ . We write  $N_{\pi}$  for the conductor of  $\pi$ , and call  $V(N_{\pi})$  the space of newforms for  $\pi$ . An algebraic structure of V(n) was studied in [6] and [9], for example, the multiplicity one theorem for newforms and the dimension formula for V(n),  $n \geq N_{\pi}$ .

Our main concern is the relation of newforms and Rankin-Selberg factors. Gelbart and Piatetski-Shapiro in [4] attached a family of Rankin-Selberg integrals to an irreducible generic representation  $\pi$  of G, and defined L and  $\varepsilon$ -factors for  $\pi$ . In *loc. cit.* they showed that the spherical vector attains the L-factor of  $\pi$  when  $\pi$  is an unramified principal series representation. But there were no results for ramified representations. In this note, we establish a theory of newforms for Gelbart and Piatetski-Shapiro's integral. We see that (i) the newform for an irreducible generic representation  $\pi$  of G attains the L-factor of  $\pi$ (Theorem 4.1) (ii) the conductor of  $\pi$  coincides with the exponent of  $q^{-s}$  of the  $\varepsilon$ -factor, where q denotes the cardinality of the residue field (Theorem 4.3).

We summarize the contents of this paper. In section 2, we recall from [1] the theory of Rankin-Selberg integral introduced by Gelbart, Piatetski-Shapiro and Baruch. In section 3, we define newforms for G and recall their basic properties. In section 4, we show Theorems 4.1 and 4.3 assuming Lemma 4.2, which is proved in section 5.

## 2 Rankin-Selberg integral

In this section, we recall from [1] the theory of Rankin-Selberg integral for U(2,1) introduced by Gelbart, Piatetski-Shapiro and Baruch.

### 2.1 Notation

We use the following notation. Let F be a non-archimedean local field of characteristic zero,  $\mathbf{o}_F$  its ring of integers, and  $\mathbf{p}_F$  the maximal ideal in  $\mathbf{o}_F$ . We fix a uniformizer  $\varpi_F$  in F, and denote by  $|\cdot|_F$  the absolute value of F normalized so that  $|\varpi_F| = q_F^{-1}$ , where  $q_F$  is the cardinality of the residue field  $\mathbf{o}_F/\mathbf{p}_F$ . Throughout this paper, we assume that the characteristic of  $\mathbf{o}_F/\mathbf{p}_F$  is different from two.

Let  $E = F[\sqrt{\epsilon}]$  be the quadratic unramified extension over F, where  $\sqrt{\epsilon}$  is a nonsquare unit in  $\mathfrak{o}_F$ . We denote by  $\mathfrak{o}_E$ ,  $\mathfrak{p}_E$  the analogous objects for E. Then  $\varpi_F$  is a uniformizer of E, and the cardinality of  $\mathfrak{o}_E/\mathfrak{p}_E$  is equal to  $q_F^2$ . So we abbreviate  $\varpi = \varpi_F$ and  $q = q_F$ . We realize (the group of F-points of) the unramified unitary group in three variables defined over F as

$$G = \mathrm{U}(2,1) = \{g \in \mathrm{GL}_3(E) \mid {}^t\overline{g}Jg = J\}.$$

Here we denotes by  $\bar{}$  the non-trivial element in  $\operatorname{Gal}(E/F)$  and

$$J = \left( \begin{array}{rrr} 0 & 0 & 1 \\ 0 & 1 & 0 \\ 1 & 0 & 0 \end{array} \right) \,.$$

Let B be the upper triangular Borel subgroup of G, U its unipotent radical, and T the group of the diagonal elements in G. For a non-trivial additive character  $\psi_E$  of E, we also denote by  $\psi_E$  the character of U defined by  $\psi_E(u) = \psi_E(u_{12})$ , for  $u = (u_{ij}) \in U$ . For an irreducible generic representation  $(\pi, V)$  of G, we write  $\mathcal{W}(\pi, \psi_E)$  for the Whittaker model of  $\pi$  associated to  $(U, \psi_E)$ .

#### 2.2 Zeta integrals

Let  $\mathcal{C}_c^{\infty}(F^2)$  be the space of locally constant, compactly supported functions on  $F^2$ . For an irreducible generic representation  $(\pi, V)$  of G, Gelbart and Piatetski-Shapiro introduced a family of zeta integrals which has the form  $Z(s, W, \Phi)$  ( $W \in \mathcal{W}(\pi, \psi_E), \Phi \in \mathcal{C}_c^{\infty}(F^2)$ ) as follows:

We identify the subgroup

$$H = \left\{ \left( \begin{array}{ccc} a & 0 & b \\ 0 & 1 & 0 \\ c & 0 & d \end{array} \right) \in G \right\}$$

of G with U(1, 1). Since SU(1, 1) is isomorphic to  $SL_2(F)$ , we can write any element h in H as

(2.1) 
$$h = \begin{pmatrix} b & 0 \\ 0 & \overline{b}^{-1} \end{pmatrix} \begin{pmatrix} \sqrt{\epsilon} & 0 \\ 0 & 1 \end{pmatrix} h_1 \begin{pmatrix} \sqrt{\epsilon}^{-1} & 0 \\ 0 & 1 \end{pmatrix},$$

where  $b \in E^{\times}$  and  $h_1 \in SL_2(F)$ . For  $\Phi \in \mathcal{C}_c^{\infty}(F^2)$  and  $h \in H$ , we define a function  $f(s, h, \Phi)$  on **C** by

$$f(s,h,\Phi) = |b|_E^s \int_{F^{\times}} \Phi((0,r)h_1) |r|_E^s d^{\times}r$$

by using the decomposition of h in (2.1). We note that the definition of  $f(s, h, \Phi)$  is independent of the choices of  $b \in E^{\times}$  and  $h_1 \in SL_2(F)$ .

Set  $B_H = B \cap H$  and  $U_H = U \cap H$ . Then  $U_H$  is the unipotent radical of the Borel subgroup  $B_H$  of H = U(1, 1). For  $W \in \mathcal{W}(\pi, \psi_E)$  and  $\Phi \in \mathcal{C}^{\infty}_c(F^2)$ , we define zeta integral  $Z(s, W, \Phi)$  by

$$Z(s, W, \Phi) = \int_{U_H \setminus H} W(h) f(s, h, \Phi) dh.$$

Then  $Z(s, W, \Phi)$  absolutely converges to a function in  $\mathbf{C}(q^{-2s})$  if  $\operatorname{Re}(s)$  is sufficiently large.

#### **2.3** L and $\varepsilon$ -factors

We recall the definition of L and  $\varepsilon$ -factors attached to an irreducible generic representation  $(\pi, V)$  of G. Set

$$I_{\pi} = \langle Z(s, W, \Phi) | W \in \mathcal{W}(\pi, \psi_E), \Phi \in \mathcal{C}^{\infty}_{c}(F^2), \psi_E : \text{non-trivial} \rangle.$$

Then  $I_{\pi}$  is a fractional ideal of  $\mathbb{C}[q^{-2s}, q^{2s}]$  which contains 1. Thus, there exists a polynomial P(X) in  $\mathbb{C}[X]$  such that P(0) = 1 and  $I_{\pi} = (1/P(q^{-2s}))$ . We define the L-factor  $L(s,\pi)$  of  $\pi$  by

$$L(s,\pi) = \frac{1}{P(q^{-2s})}.$$

To define  $\varepsilon$ -factor of  $\pi$ , we recall the functional equation. Let  $\psi_F$  be a non-trivial additive character of F. For  $\Phi \in \mathcal{C}^{\infty}_c(F^2)$ , we denote by  $\hat{\Phi}$  its Fourier transform with respect to  $\psi_F$ . Then there exists  $\gamma(s, \pi, \psi_F, \psi_E) \in \mathbf{C}(q^{-2s})$  which satisfies

$$\gamma(s,\pi,\psi_F,\psi_E)Z(s,W,\Phi)=Z(1-s,W,\Phi),$$

for all  $W \in \mathcal{W}(\pi, \psi_E)$  and  $\Phi \in \mathcal{C}^{\infty}_c(F^2)$ .

By using the above functional equation, we define the  $\varepsilon$ -factor  $\varepsilon(s, \pi, \psi_F, \psi_E)$  of  $\pi$  by

$$\varepsilon(s, \pi, \psi_F, \psi_E) = \gamma(s, \pi, \psi_F, \psi_E) \frac{L(s, \pi)}{L(1 - s, \widetilde{\pi})},$$

where  $\tilde{\pi}$  is the contragradient representation of  $\pi$ . By [7], we obtain  $L(s, \tilde{\pi}) = L(s, \pi)$ , and hence

(2.2) 
$$\varepsilon(s,\pi,\psi_F,\psi_E) = \gamma(s,\pi,\psi_F,\psi_E) \frac{L(s,\pi)}{L(1-s,\pi)}$$

Thus, we can show the following proposition by the standard argument:

**Proposition 2.3.** The  $\varepsilon$ -factor  $\varepsilon(s, \pi, \psi_F, \psi_E)$  is a monomial in  $q^{-2s}$  of the form

$$\varepsilon(s,\pi,\psi_F,\psi_E) = \pm q^{-2n(s-1/2)}$$

with some  $n \in \mathbf{Z}$ .

# 3 Newforms

In this section, we introduce a family of open compact subgroups of G, and define the notion of newforms for irreducible generic representations of G. We summarize the basic properties of newforms for G, which are an analog of those for GL(n) and GSp(4).

## 3.1 Newforms

Newforms for G are defined by the following open compact subgroups  $\{K_n\}_{n\geq 0}$  of G. For each non-negative integer n, we define an open compact subgroup  $K_n$  of G by

$$K_n = \begin{pmatrix} \mathfrak{o}_E & \mathfrak{o}_E & \mathfrak{p}_E^{-n} \\ \mathfrak{p}_E^n & 1 + \mathfrak{p}_E^n & \mathfrak{o}_E \\ \mathfrak{p}_E^n & \mathfrak{p}_E^n & \mathfrak{o}_E \end{pmatrix} \cap G$$

Remark 3.1. The definition of  $K_n$  is inspired by the paramodular subgroups of GSp(4), which is used in [11]. We also note that the group  $\begin{pmatrix} \mathfrak{o}_E & \mathfrak{o}_E & \mathfrak{p}_E^{-n} \\ \mathfrak{p}_E^n & 1 + \mathfrak{p}_E^n & \mathfrak{o}_E \\ \mathfrak{p}_E^n & \mathfrak{p}_E^n & \mathfrak{o}_E \end{pmatrix}^{\times}$  is a conjugate of the subgroup of  $GL_3(E)$  which is used to define newforms for  $GL_3(E)$  in [5]. For an irreducible generic representation  $(\pi, V)$  of G, we set

$$V(n) = \{ v \in V \mid \pi(k)v = v, \ k \in K_n \}, \ n \ge 0.$$

Then it follows from [9] that there exists a non-negative integer n such that V(n) is not zero.

**Definition 3.2.** We define the conductor of  $\pi$  by

$$N_{\pi} = \min\{n \ge 0 \,|\, V(n) \ne \{0\}\}.$$

We call  $V(N_{\pi})$  the space of *newforms* for  $\pi$  and V(n) that of *oldforms*, for  $n > N_{\pi}$ .

## 3.2 Basic properties of newforms

We recall some basic properties of newforms from [6] and [9]. Firstly, the growth of dimensions of oldforms for generic representations  $\pi$  is independent of  $\pi$ , as in the cases of GL(n) and GSp(4) (see [2], [10], [11]). The following dimension formula for oldforms holds:

**Proposition 3.3** ([6], [9]). Let  $(\pi, V)$  be an irreducible generic representation of G. For  $n \ge N_{\pi}$ , we have

$$\dim V(n) = \left\lfloor \frac{n - N_{\pi}}{2} \right\rfloor + 1.$$

In particular,  $V(N_{\pi})$  and  $V(N_{\pi}+1)$  are one-dimensional.

Secondly, newforms for G are test vectors for the Whittaker functional. We say that a function W in  $\mathcal{W}(\pi, \psi_E)$  is a newform if W is fixed by  $K_{N_{\pi}}$ . The following proposition is important to the application to the theory of zeta integral:

**Proposition 3.4** ([6]). Suppose that the conductor of  $\psi_E$  is  $\mathfrak{o}_E$ . Then for all nonzero newforms W in  $\mathcal{W}(\pi, \psi_E)$ , we have

$$W(1) \neq 0.$$

#### **3.3** Zeta integral of newforms

We apply newforms for G to the theory of zeta integral. We suppose that the conductor of  $\psi_E$  is  $\mathfrak{o}_E$ . One of the nice properties of the subgroups  $\{K_n\}_{n\geq 0}$  is that  $K_{n,H} = K_n \cap H$  is

$$t: E^{\times} \simeq T_H; a \mapsto \begin{pmatrix} a & & \\ & 1 & \\ & & \overline{a}^{-1} \end{pmatrix}$$

For  $W \in \mathcal{W}(\pi, \psi_E)$  and  $\Phi \in \mathcal{C}^{\infty}_c(F^2)$ , we obtain

$$Z(s,W,\Phi) = \int_{E^{\times}} \int_{K_{n,H}} W(t(a)k)f(s,k,\Phi)|a|_E^{s-1}dkd^{\times}a.$$

For  $n \ge 0$ , we denote by  $\Phi_n$  the characteristic function of  $\mathfrak{p}_F^n \oplus \mathfrak{o}_F$ . If W a newform in  $\mathcal{W}(\pi, \psi_E)$ , then we have

(3.5) 
$$Z(s, W, \Phi_{N_{\pi}}) = \operatorname{vol}(K_{n,H})Z(s, W)L_{E}(s, 1).$$

Here  $L_E(s,1) = 1/(1-q^{-2s})$  is the L-factor of the trivial representation of  $E^{\times}$  and

(3.6) 
$$Z(s,W) = \int_{E^{\times}} W(t(a)) |a|_E^{s-1} d^{\times} a.$$

We note that Proposition 3.4 implies that the integral Z(s, W) does not vanish for any non-zero newforms in  $\mathcal{W}(\pi, \psi_E)$ .

If  $\psi_F$  has conductor  $\mathfrak{o}_F$ , then we have  $\hat{\Phi}_{N_{\pi}} = q^{-N_{\pi}} \mathrm{ch}_{\mathfrak{o}_F \oplus \mathfrak{p}_F^{-N_{\pi}}}$ , and hence

(3.7) 
$$Z(1-s, W, \hat{\Phi}_{N_{\pi}}) = q^{-2N_{\pi}(s-1/2)} Z(1-s, W, \Phi_{N_{\pi}})$$

by (3.5).

## 4 Main results

In this section, we show our two main theorems, which describe L and  $\varepsilon$ -factors of irreducible generic representations of G in terms of newforms and conductors.

#### 4.1 *L*-factors and newforms

We show that zeta integrals of newforms attain *L*-factors. We normalize Haar measures on  $E^{\times}$  and  $K_{n,H}$  so that the volumes of  $\mathfrak{o}_{E}^{\times}$  and of  $K_{n,H}$  are one respectively. Then the following holds: **Theorem 4.1** ([8]). Suppose that  $\psi_E$  has conductor  $\mathfrak{o}_E$ . Let  $\pi$  be an irreducible generic representation of G and W the newform in  $\mathcal{W}(\pi, \psi_E)$  such that W(1) = 1. Then we have

$$Z(s, W, \Phi_{N_{\pi}}) = L(s, \pi).$$

Theorem 4.1 is reduced to the following lemma:

Lemma 4.2. With the notation as above, we have

$$Z(s, W, \Phi_{N_{\pi}})/L(s, \pi) = 1 \text{ or } 1/L_E(s, 1).$$

We postpone the proof of Lemma 4.2 to the next section.

Proof of Theorem 4.1. We further assume that  $\psi_F$  has conductor  $\mathfrak{o}_F$ . Suppose that  $Z(s, W, \Phi_{N_{\pi}})/L(s, \pi) = 1/L_E(s, 1)$ . Then by (2.2), we obtain

$$\begin{aligned} \varepsilon(s,\pi,\psi_F,\psi_E) &= \gamma(s,\pi,\psi_F,\psi_E) \frac{L(s,\pi)}{L(1-s,\pi)} \\ &= \frac{Z(1-s,W,\hat{\Phi}_{N_{\pi}})}{Z(s,W,\Phi_{N_{\pi}})} \frac{L(s,\pi)}{L(1-s,\pi)} \\ &= q^{-2N_{\pi}(s-1/2)} \frac{L_E(s,1)}{L_E(1-s,1)}. \end{aligned}$$

The last equality follows from (3.7). This contradicts Proposition 2.3 which implies that  $\varepsilon(s, \pi, \psi_F, \psi_E)$  is monomial. Thus we get  $Z(s, W, \Phi_{N_{\pi}}) = L(s, \pi)$ , as required.

#### 4.2 $\varepsilon$ -factors and conductors

We show that the exponent of  $q^{-2s}$  of the  $\varepsilon$ -factor of an irreducible generic representation  $\pi$  of G coincides with the conductor of  $\pi$ . Applying the argument in the proof of Theorem 4.1, we obtain the following:

**Theorem 4.3** ([8]). Suppose that  $\psi_E$  and  $\psi_F$  have conductors  $\mathfrak{o}_E$  and  $\mathfrak{o}_F$  respectively. For any irreducible generic representation  $\pi$  of G, we have

$$arepsilon(s,\pi,\psi_F,\psi_E)=q^{-2N_\pi(s-1/2)}.$$

# 5 Proof of Lemma 4.2

In this section, we explain how to prove Lemma 4.2.

### 5.1 Evaluation of *L*-factors

We shall evaluate  $L(s,\pi)$ , for each irreducible generic representation  $(\pi, V)$  of G. The *L*-factor  $L(s,\pi)$  is defined as the greatest common divisor of the zeta integrals  $Z(s, W, \Phi)$ . For  $W \in \mathcal{W}(\pi, \psi_E)$  and  $\Phi \in \mathcal{C}^{\infty}_{c}(F^2)$ , there exist  $W_i \in \mathcal{W}(\pi, \psi_E)$  and  $\Phi_i \in \mathcal{C}^{\infty}_{c}(F^2)$  $(1 \leq i \leq m)$  such that

$$Z(s, W, \Phi) = \sum_{i=1}^{m} Z(s, W_i) f(s, 1, \Phi_i).$$

By the theory of zeta integral for GL(1), we have

$$f(s, 1, \Phi_i) \in L_E(s, 1)\mathbf{C}[q^{-2s}, q^{2s}].$$

Recall that we defined

$$Z(s,W) = \int_{E^{\times}} W(t(a)) |a|_E^{s-1} d^{\times} a,$$

for  $W \in \mathcal{W}(\pi, \psi_E)$ . To estimate Z(s, W), we can apply the theory of Kirillov model for GL(2).

An irreducible generic representation of G is supercuspidal, or else a subrepresentation of a parabolically induced representation from B. The Levi component T of B is isomorphic to  $E^{\times} \times U(1)$ . For a quasi-character  $\mu_1$  of  $E^{\times}$  and a character  $\mu_2$  of U(1), we denote by  $\operatorname{Ind}_B^G \mu_1 \otimes \mu_2$  the corresponding parabolically induced representation. According to the classification of representations of G, we have the following evaluation of the shape of L-factors:

**Proposition 5.1.** Let  $\pi$  be an irreducible generic representation of G.

(i) If  $\pi$  is supercuspidal, then  $L(s,\pi)$  divides  $L_E(s,1)$ .

(ii) If  $\pi$  is a proper submodule of  $\operatorname{Ind}_B^G \mu_1 \otimes \mu_2$ , then  $L(s,\pi)$  divides  $L_E(s,\mu_1)L_E(s,1)$ . (iii) If  $\pi = \operatorname{Ind}_B^G \mu_1 \otimes \mu_2$ , then  $L(s,\pi)$  divides  $L_E(s,\mu_1)L_E(s,\overline{\mu_1}^{-1})L_E(s,1)$ .

### 5.2 Calculation of zeta integral of newforms

Let W be the newform in  $\mathcal{W}(\pi, \psi_E)$  such that W(1) = 1. We shall compute  $Z(s, W, \Phi_{N_{\pi}})$ . Suppose that  $\pi$  has conductor zero. Then  $\pi = \text{Ind}_B^G(\mu_1 \otimes 1)$ , for some unramified quasicharacter  $\mu_1$  of  $E^{\times}$ . In this case, newforms in  $\mathcal{W}(\pi, \psi_E)$  are just spherical Whittaker functions. In [4], Gelbart and Piatetski-Shapiro showed that

$$Z(s, W, \Phi_0) = L_E(s, \mu_1) L_E(s, \overline{\mu}_1^{-1}) L_E(s, 1)$$

by using Casselman-Shalika's formula for spherical Whittaker functions in [3]. We therefore obtain  $Z(s, W, \Phi_0) = L(s, \pi)$  because of Proposition 5.1.

From now on, we assume that  $N_{\pi}$  is positive. By (3.5), we have

$$Z(s, W, \Phi_{N_{\pi}}) = Z(s, W)L_E(s, 1),$$

and hence it is enough to compute Z(s, W). One can easily observe that

(5.2) 
$$Z(s,W) = \int_{E^{\times}} W(t(a)) |a|_E^{s-1} d^{\times} a = \sum_{i=0}^{\infty} W(t(\varpi^i)) q^{2i(1-s)}$$

So we shall give a recursion formula for  $W(t(\varpi^i))$ ,  $i \ge 0$ , in terms of two "Hecke eigenvalues"  $\lambda$  and  $\nu$ .

We abbreviate  $N = N_{\pi}$ . Let us define the eigenvalue  $\lambda$ . We define a level raising operator  $\theta' : V(N) \to V(N+1)$  by

$$\theta' v = \int_{K_{N+1}} \pi(k) v dk, \ v \in V(N),$$

and a level lowering operator  $\delta: V(N+1) \to V(N)$  by

$$\delta w = \int_{K_N} \pi(k) w dk, \ w \in V(N+1).$$

Since dim V(N) = 1, there exists  $\lambda \in \mathbf{C}$  such that

$$\lambda v = \delta \theta' v,$$

for all  $v \in V(N)$ .

Next, we define the eigenvalue  $\nu$ . Put

$$\zeta = \left(\begin{array}{cc} \varpi & & \\ & 1 & \\ & & \varpi^{-1} \end{array}\right) \in G.$$

We define the Hecke operator T on V(N+1) by

$$Tv = \int_{K_{N+1}\zeta K_{N+1}} \pi(k) v dk, \ v \in V(N+1).$$

Because dim V(N+1) = 1, there exists  $\nu$  in C such that

$$Tv = \nu v$$
,

for all  $v \in V(N+1)$ .

With the notation as above, we obtain the following recursion formula for  $W(t(\varpi^i))$ ,  $i \ge 0$ .

**Proposition 5.3.** Let  $(\pi, V)$  be an irreducible generic representation of G whose conductor  $N_{\pi}$  is positive. For any newform W in  $\mathcal{W}(\pi, \psi_E)$ , we have

$$(\nu + q^2 - \lambda)c_i + q(\nu + q^2 - q^3)c_{i+1} = q^5c_{i+2}, \ i \ge 0,$$
  
 $(\nu - q^3)c_0 = q^4c_1,$ 

where  $c_i = W(t(\varpi^i)), \ i \ge 0$ .

By (5.2) and Proposition 5.3, we can describe the zeta integral of newforms in terms of  $\lambda$  and  $\nu$ :

**Proposition 5.4.** Let  $(\pi, V)$  be an irreducible generic representation of G whose conductor  $N_{\pi}$  is positive and W its newform in  $\mathcal{W}(\pi, \psi_E)$  such that W(1) = 1. Then we have

$$Z(s,W) = \frac{1 - q^{-2s}}{1 - \frac{\nu + q^2 - q^3}{q^2}q^{-2s} - \frac{\nu + q^2 - \lambda}{q}q^{-4s}}.$$

In particular,

$$Z(s, W, \Phi_{N_{\pi}}) = \frac{1}{1 - \frac{\nu + q^2 - q^3}{q^2}q^{-2s} - \frac{\nu + q^2 - \lambda}{q}q^{-4s}}$$

### 5.3 Proof of Lemma 4.2

We have seen that Lemma 4.2 holds for the unramified principal series representations.

Let  $\pi$  be an irreducible generic representation of G. We assume that  $N_{\pi}$  is positive. Proof of Lemma 4.2 is done by comparing Propositions 5.1 and 5.4. Suppose that  $\pi$  is supercuspidal or a subrepresentation of  $\operatorname{Ind}_{B}^{G}\mu_{1}\otimes\mu_{2}$ , for some ramified quasi-character  $\mu_{1}$ of  $E^{\times}$ . Then it follows from Proposition 5.1 that  $L(s,\pi) = 1$  or  $L_{E}(s,1)$ . By definition, we have  $Z(s, W, \Phi_{N_{\pi}})/L(s,\pi) \in \mathbb{C}[q^{-2s}, q^{2s}]$ . So we get

$$Z(s, W, \Phi_{N_{\pi}})/L(s, \pi) = 1 \text{ or } 1/L_E(s, 1),$$

by Proposition 5.4.

Suppose that  $\pi$  is a subrepresentation of  $\operatorname{Ind}_B^G \mu_1 \otimes \mu_2$ , for unramified  $\mu_1$ . Then we can regard newforms for  $\pi$  as functions in  $\operatorname{Ind}_B^G \mu_1 \otimes \mu_2$ . Due to [6], non-zero newforms f in  $\pi$  satisfy  $f(1) \neq 0$ . By using this property of newforms, we can compute the eigenvalues  $\nu$  and  $\lambda$  explicitly, and Lemma 4.2 follows.

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